

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **HONG KONG INVESTOR IDENTIFICATION REGIME (HKIDR) – REMINDER ON
END-TO-END (E2E) TEST**

Enquiry: **Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)**

Reminder to Complete HKIDR E2E Test by 15 July 2022

With reference to the Exchange circulars (Ref. No.: [CT/030/22](#) and [CT/046/22](#)), all Relevant Regulated Intermediaries (RRIs)² are reminded to participate in and complete the E2E Test for the HKIDR (including two new features of the Orion Central Gateway – Securities Market) by **15 July 2022**. RRIs should refer to the published test packages and connectivity guide to complete the mandatory testing.

After completion of the E2E Test, RRIs are reminded that they should submit positive testing confirmation reply to the SFC (for BCAN-CID Mapping File and reporting forms submission) and HKEX (for BCAN tagging in OTP-C³ which is applicable to Exchange Participants (EPs) only) respectively by **15 July 2022**.

Maintenance Window for Electronic Communication Platform (ECP) in E2E Test Environment

Please note that during the E2E Test and starting from 24 June 2022, 8pm to 11:59pm on every Friday has been designated as a regular maintenance window for system maintenance on the ECP. During this maintenance window, RRIs may experience ECP outage (OTP-C will not be affected) in the E2E Test environment in case any system maintenance work is being carried out. In this connection, RRIs should complete their tests as early as possible on every Friday.

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

² Relevant Regulated Intermediaries refer to SFC-licensed corporations and registered institutions subject to the HKIDR pursuant to the proposed paragraph 5.6 of the SFC's Code of Conduct for Persons Licensed by or Registered with the SFC as set out in the Appendix C in the SFC's consultation conclusions paper.

³ Orion Trading Platform - Securities Market

Revised Operation Timetable for E2E Test (for BCAN-CID Mapping File and Reporting Forms)

Time (except public holidays)	Type	Activity
7:00am - 4:30pm (Monday to Friday)	BCAN-CID	(i) Mapping file submission (ii) Response file distribution
6:00pm - 11:59pm (Monday to Thursday) 6:00pm - 8:00pm (Friday)	BCAN-CID	(i) Process result file distribution (ii) Full image file distribution
7:00am - 6:00pm (Monday to Friday)	Reporting Forms	(i) Reporting forms submission (ii) Response files distribution
By 8:00am on next day (Monday to Friday)	Reporting Forms	(i) Result file distribution

Updates to HKIDR Technical Documents and HKIDR Information Paper

Please note that the HKIDR [File Interface Specification](#) and the [File Transfer Connectivity Guide](#) have been updated for allowing submission of the BCAN-CID Mapping File by a third party (i.e. On Behalf of (OBO) submission) via both the SFC's WINGS platform and HKEX's Client Connect.

Furthermore, the time requirement for inputting the buy-side BCAN in OTP-C for an off-exchange trade has been updated to within 15 minutes after the selling EP input the transaction or 30 minutes after conclusion of transaction, whichever is later. Please note that the paragraph 29 of the [Information Paper](#) has been also updated accordingly.

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